

PATENT Atty. Docket No. 3262.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Wang et. al.

Serial No:

09/764,324

Filing Date:

January 16, 2001

Title: COMPUTER SOFTWARE FOR SEQUENCE SELECTION

Examiner:

Majorie Moran

Group Art Unit:

1631

RECEIVED

MAR 1 \$ 2003

**TECH CENTER 1600/2900** 

## RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Commissioner for Patents Washington, D.C. 20231

Sir:

This document is submitted in response to the Office Communication, Paper 11, mailed February 10, 2003.

Transmitted herewith is a copy of the corrected "Sequence Listing" (2 sheets) in paper form for the above-identified patent application as required by 37 C.F.R. 1.825(a). A copy of the corrected "Sequence Listing" in computer readable form as required by 37 C.F.R. 1.825(b) is enclosed herewith. Applicant's representative hereby states that the content of the corrected "Sequence Listing" in paper form and the computer readable form of the "Sequence Listing" are the same and, as required by 37 C.F.R. 1.821(g), also states that the submission includes no new matter.

Atty. Docket No. 3262.1

It is believed that no fee is required for submission of this response. However, if a fee is required, the Commissioner is authorized to deduct such fee from Deposit Account No. 01-0431. This Transmittal Letter is submitted in duplicate for accounting purposes.

Dated: 03-10-2003

Respectfully submitted,

Leticia R. Block

Reg. No. 50,167

Affymetrix, Inc. 3380 Central Expressway Santa Clara, CA 95051

Tel: 408-731-5000 Fax: 408-731-5392



## RAW SEQUENCE LISTING ERROR REPORT



JAN 3 0 2003
TECH CENTER 1600/2900

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/764324A

Source: 1600

Date Processed by STIC: 1/24/2003

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 3.1 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual-ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- Hand Carry directly to:

   U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
  - U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- 4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002





1600

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/764,324A

DATE: 01/24/2003 TIME: 08:59:23

Input Set : A:\3262.1.ST25.txt

Output Set: N:\CRF4\01242003\I764324A.raw

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3 <110> APPLICANT: Mittman, Michael
          Chen, Jake
          Wang, Hui
 7 <120> TITLE OF INVENTION: Computer Software for Sequence Selection
 9 <130> FILE REFERENCE: 3262.1
11 <140> CURRENT APPLICATION NUMBER: 09/764,324A
12 <141> CURRENT FILING DATE: 2001-01-16
14 <160> NUMBER OF SEQ ID NOS: 7
16 <170> SOFTWARE: PatentIn version 3.1
                                                                              Does Not Comply
18 <210> SEQ ID NO: 1
                                                                          Corrected Diskette Needed
19 <211> LENGTH: 38
20 <212> TYPE: DNA
21 <213> ORGANISM: Homo Sapien
23 <400> SEQUENCE: 1
24 attccgggtt agcctgaccg cgcgcgcg cgcgcgcg
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27 <210> SEQ ID NO: 2
28 <211> LENGTH: 46
29 <212> TYPE: DNA
30 <213> ORGANISM: Homo Sapien
32 <400> SEQUENCE: 2
33 gatcgattcc gattccgggt tagcctgacc gaaaaaaaa aaaaaa
                                                                                     46
36 <210> SEQ ID NO: 3
37 <211> LENGTH: 23
38 <212> TYPE: DNA
39 <213> ORGANISM: Homo Sapien
41 <220> FEATURE:
42 <221> NAME/KEY: misc feature
43 <222> LOCATION: (23)...(23)

44 <223> OTHER INFORMATION: V=x=A, B, C, D

47 <400> SEQUENCE: 3

48 agcetttgac tgttcaagca tty

51 <210> SEQ ID NO: 4

52 <211> LENGTH: 6

Per Sequence Rules, y can only represent

C or t/u,

Nothing else
53 <212> TYPE: DNA
54 <213> ORGANISM: Homo Sapien
56 <400> SEQUENCE: 4
57 ttgact
                                                                                      6
60 <210> SEQ ID NO: 5
61 <211> LENGTH: 11
62 <212> TYPE: DNA
63 <213> ORGANISM: Homo Sapien
65 <400> SEQUENCE: 5
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66 agatttggct a

11



RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/764,324A

DATE: 01/24/2003 TIME: 08:59:23

Input Set : A:\3262.1.ST25.txt

Output Set: N:\CRF4\01242003\I764324A.raw

FYI! 69 <210> SEQ ID NO: 6 70 <211> LENGTH: 14 71 <212> TYPE: DNA 72 <213> ORGANISM: Homo Sapien 74 <220> FEATURE: 75 <221> NAME/KEY: misc feature 76 <222> LOCATION: (3)..(3) 77 <223> OTHER INFORMATION: (n=0.5)80 <220> FEATURE: 81 <221> NAME/KEY: misc feature 82 <222> LOCATION (4)..(4) 83 <223> OTHER INFORMATION: n=0.5 86 <400> SEQUENCE: 6 W--> 87 agnnttggct agtt 90 <210> SEQ ID NO: 91 <211> LENGTH: 23 92 <212> TYPE: DNA 93 <213> ORGANISM: Homo Sapiens 95 <220> FEATURE: 96 <221> NAME/KEY: misc\_feature 97 <222> LOCATION: (3)..(3) 98 <223> OTHER INFORMATION: (n=0.5) 101 <220> FEATURE: 102 <221> NAME/KEY: misc feature 103 <222> LOCATION: (8)..(8) 104 <223> OTHER INFORMATION: (n=0.5 107 <220> FEATURE:

Per Sequera Rules, "h" can only represent a single, entire nucleotide a, c, t, u, or modified

108 <221> NAME/KEY: misc feature

109 <222> LOCATION: (11)..(11)

110 <223> OTHER INFORMATION: (n=0.5)

113 <220> FEATURE:

114 <221> NAME/KEY: misc feature

115 <222> LOCATION: (23)..(23)

116 <223> OTHER INFORMATION ( n=0.

119 <400> SEQUENCE: 7

W--> 120 agntttgnct ngttcaagca ttn

23



RAW SEQUENCE LISTING ERROR SUMMARY PATENT APPLICATION: US/09/764,324A

DATE: 01/24/2003 TIME: 08:59:24

Input Set : A:\3262.1.ST25.txt

Output Set: N:\CRF4\01242003\I764324A.raw

## Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Šeq#:6; N Pos. 3,4 Seq#:7; N Pos. 3,8,11,23